

# Lattice Codes for Secure Communication and Secret Key Generation

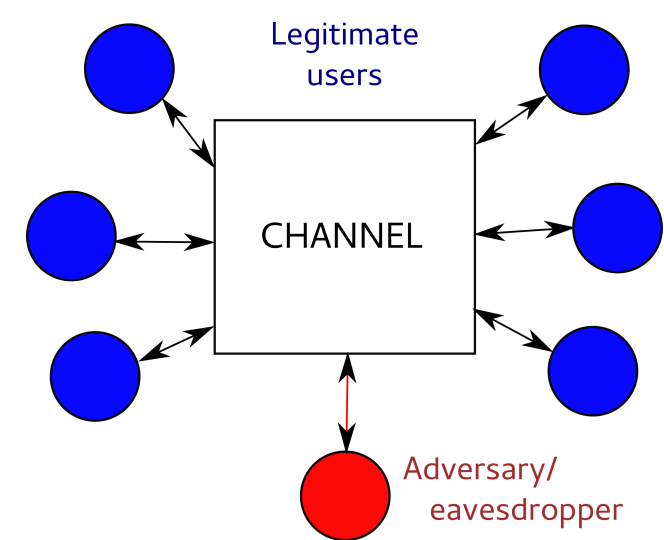
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## Overview

- **Secure bidirectional relaying**: coding schemes and achievable transmission rates.
- **Secret key generation**: poly-time coding scheme and achievable key rates.
- **Lattices from LDPC codes**: properties.
- **Concatenated lattice codes**: capacity-achieving with poly-time encoding and decoding complexity.

## Information-Theoretic Security



Wireless communication channels:

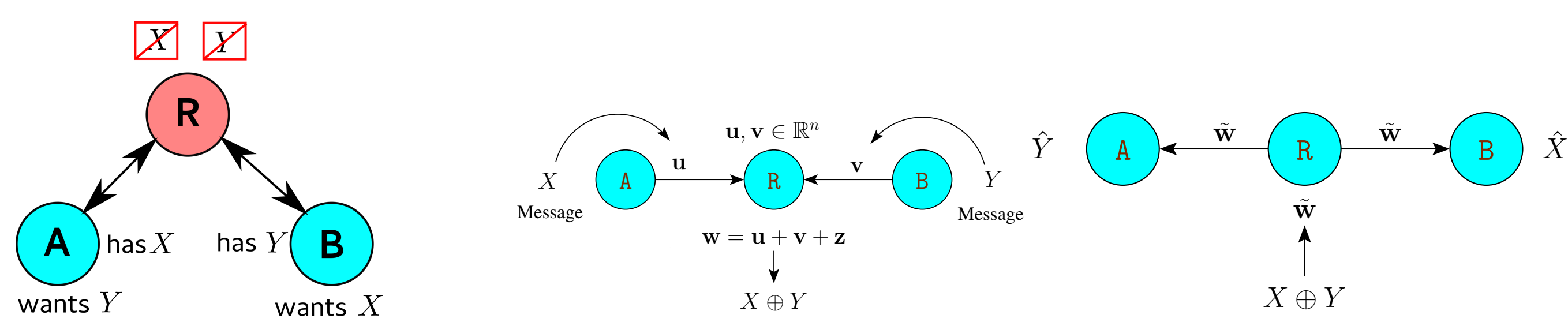
- Noisy  $\rightarrow$  Reliability and Insecure  $\rightarrow$  Security.

An information-theoretic approach to security:

- Messages drawn at random; No assumptions on computational power of eavesdropper.
- Want eve's observations  $W$  to be independent of messages  $X_i$ . (**perfect secrecy**), or

$$I(W; X_i) = \sum_{w, x_i} p(w, x_i) \log_2 \frac{p(w, x_i)}{p(w)p(x_i)} \text{ to be "small". (strong secrecy)}$$

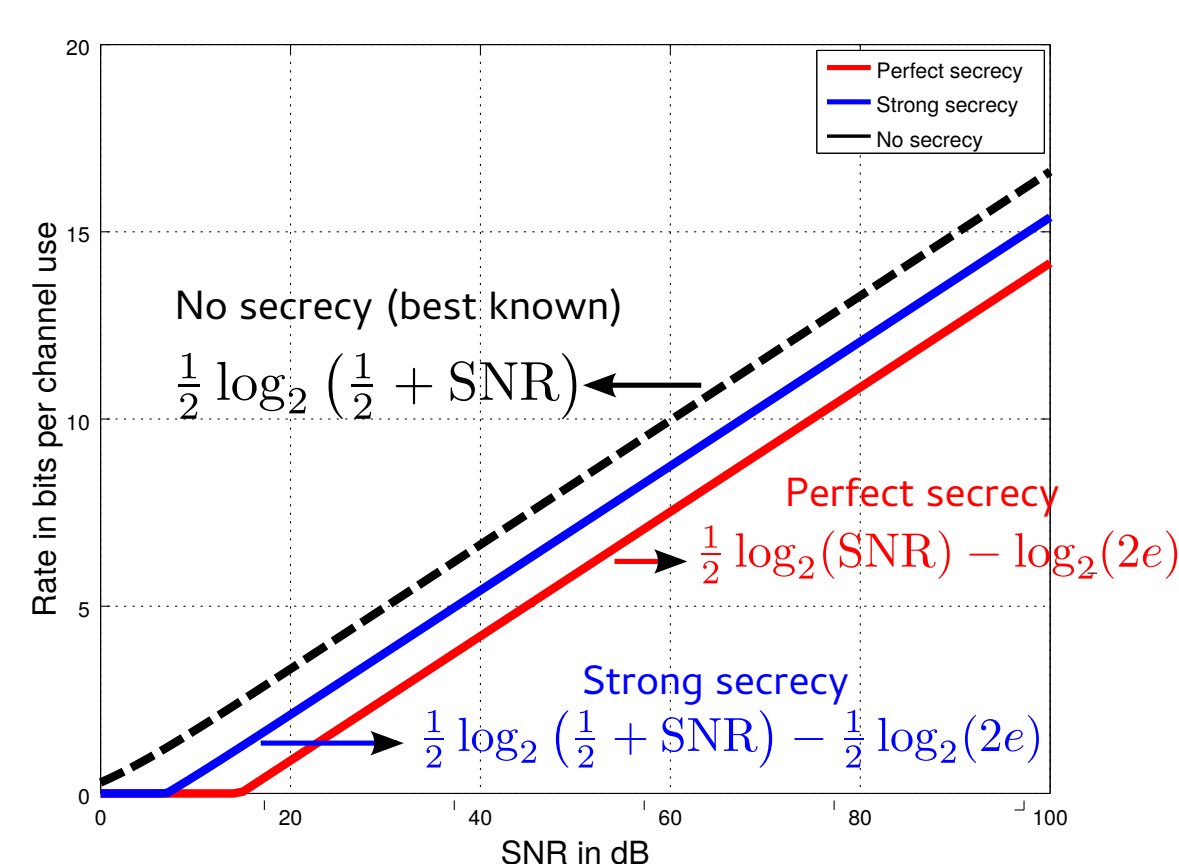
## Secure Bidirectional Relaying



- Messages  $X, Y \in \mathbb{G}$ .
- Power constraint:  $\frac{1}{n} \mathbb{E} \|\mathbf{u}\|^2 < P$  and  $\frac{1}{n} \mathbb{E} \|\mathbf{v}\|^2 < P$ .
- Reliability: Probability of decoding error is small.
- Transmission rate:  $R = \frac{1}{n} \log_2 |\mathbb{G}|$ .
- **Perfect secrecy**:  $\mathbf{w} \perp X$  and  $\mathbf{w} \perp Y$ .
- **Strong secrecy**:  $\lim_{n \rightarrow \infty} I(X; \mathbf{w}) = \lim_{n \rightarrow \infty} I(Y; \mathbf{w}) = 0$ .

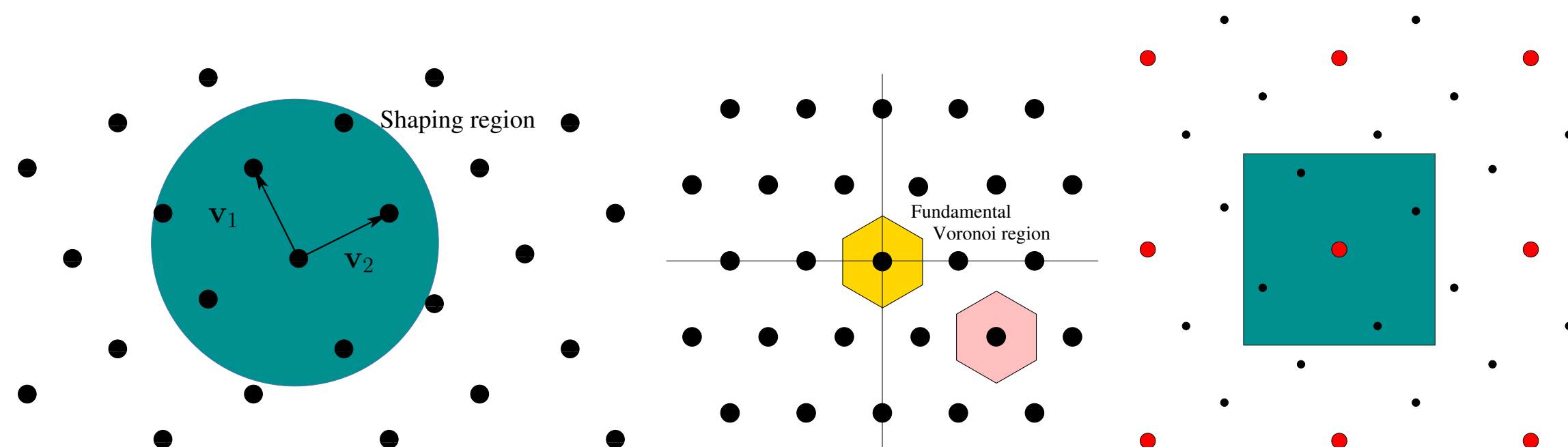
Main results:

- Explicit coding scheme that achieves **perfect secrecy**: irrespective of noise distribution [1].
- Coding scheme for **strong secrecy**: irrespective of noise distribution [1].
- Results for unequal channel gains, i.e.,  $\mathbf{w} = h_1 \mathbf{u} + h_2 \mathbf{v} + \mathbf{z}$ , when  $h_1, h_2$  unknown to users [2].
- **Larger networks** [1].



## Lattices and Lattice Codes

- $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n$  a basis for  $\mathbb{R}^n$ . Then,  $\Lambda = \{\sum_{i=1}^n a_i \mathbf{v}_i : a_i \in \mathbb{Z}\}$  is a **lattice**.
- **Lattice code**: All lattice points within a shaping region  $\mathcal{S}$ .
- Nested lattices:  $(\Lambda, \Lambda_0)$ , where  $\Lambda_0 \subset \Lambda$  are lattices in  $\mathbb{R}^n$ .
- Fundamental Voronoi region: set of points of  $\mathbb{R}^n$  closest to the zero lattice point.
- **Nested lattice code**: Fundamental Voronoi region of  $\Lambda_0$  is the shaping region.



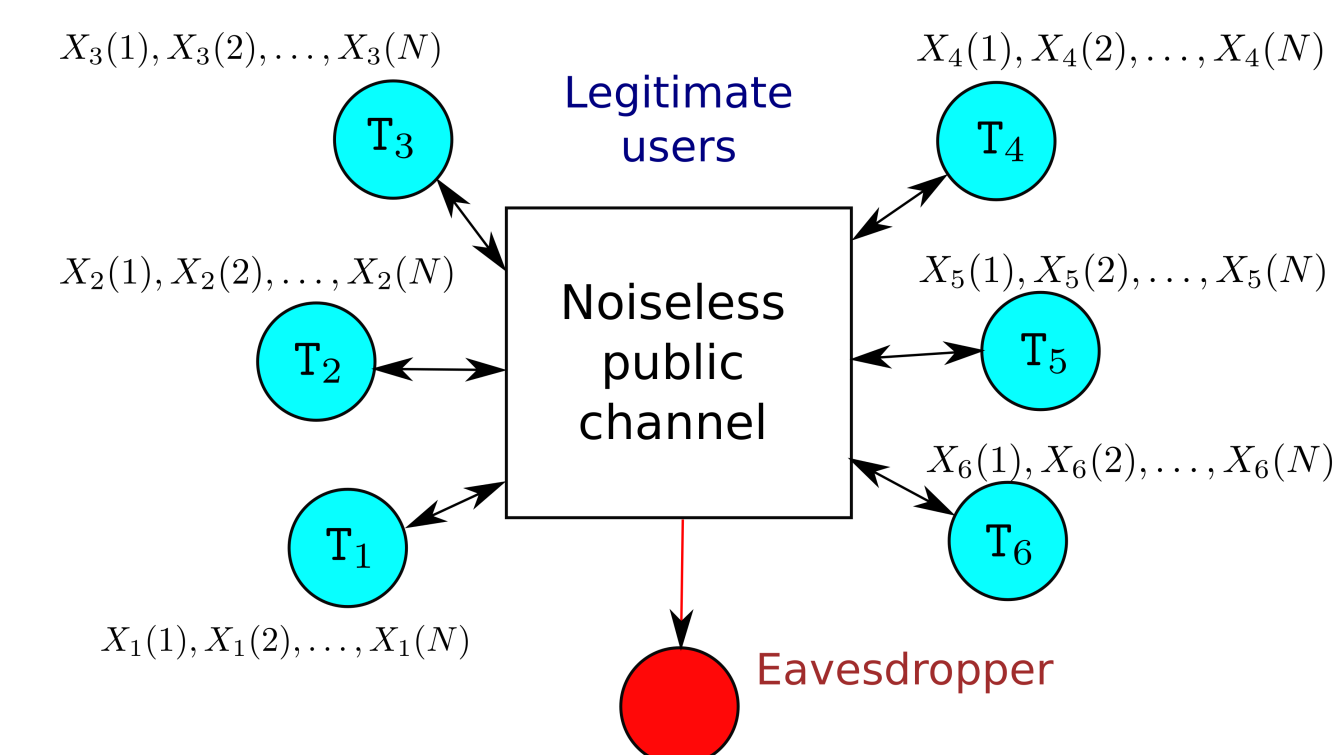
## Nested Lattice Codes for Gaussian Channels

- Codes for communication over Gaussian channels,
- Vector quantization, Sphere packing and covering,
- Codes for secure communication and secret key generation, Lattice-based cryptography,
- Many more

**Drawback of general nested lattice codes**: Closest lattice point decoding takes exponential time.

Goal: Design nested lattice codes with **polynomial encoding-decoding complexity**.

## Secret Key Generation from Correlated Gaussian Sources



- $T_i$  has  $N$  iid samples of a Gaussian source  $X_i$ .
- $(X_1(t), X_2(t), \dots, X_m(t))$  are correlated Gaussian rvs.
- Each terminal operates under a quantization rate constraint, and only the quantized random variables can be used to generate secret keys.
- **Objective**: Generate secret key using correlated rvs and public communication.
- **Reliability**: All terminals must agree on same secret key  $K$  with high probability.
- **Security**: The key  $K$  must be "almost independent" of public communication.
- Key rate:  $\frac{1}{N} \log_2 |\text{key space}|$ .

Main contributions:

- We give a coding scheme that generates **strongly secure** secret keys.
- Encoding and decoding complexities are **polynomial in  $N$** .
- Characterize achievable secret key rates when joint distribution of sources is a Markov tree.

## Low-Density Construction-A (LDA) Lattices

- Lattices constructed from low-density parity-check (LDPC) codes.
- Proposed by di Pietro et al. (2012) [6].
- Admit low-complexity message-passing decoders.
- We studied some structural properties of these lattices.
- Specifically, we showed that they are good for packing and MSE quantization, and their duals are good for packing [4].
- Under **closest lattice point** decoding, nested LDA lattice codes achieve capacity of AWGN channel (di Pietro et al. 2014) [7].
- They are also useful for communication over other Gaussian networks, vector quantization, and physical-layer security [4].

## Concatenated Lattice Codes with Polynomial Encoding and Decoding Complexity

Concatenated lattice codes achieve the capacity of the AWGN channel. [5]

- Concatenating with outer **Reed-Solomon** code:  
Encoding and decoding complexity:  $O(N^2)$  and Error probability:  $e^{-\Omega(N)}$ .
- Concatenating with outer **expander** code:  
Encoding complexity:  $O(N^2)$ , Decoding complexity:  $O(N \log^2 N)$  and Error probability:  $e^{-\Omega(N)}$ .

**First constructions** to have poly-time complexity and exponentially decaying probability of error. Extensions to **Gaussian wiretap channel**, **Physical-layer network coding** and **Secret key generation**.

## References

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- [3] S. Vatedka and N. Kashyap, "A Lattice Coding Scheme for Secret Key Generation from Gaussian Markov Tree Sources", accepted, ISIT 2016.
- [4] S. Vatedka and N. Kashyap, "Some Goodness Properties of LDA Lattices", submitted, Problems of Information Transmission, Dec. 2015.
- [5] S. Vatedka and N. Kashyap, "A Capacity-Achieving Coding Scheme for the AWGN Channel with Polynomial Encoding and Decoding Complexity," NCC 2016, arXiv:1603.08236.
- [6] N. di Pietro, J.J. Boutros, G. Zemor, and L. Brunel, "Integer low-density lattices based on Construction A," ITW 2012.
- [7] N. di Pietro, "On infinite and finite lattice constellations for the additive white Gaussian noise channel," Ph.D. dissertation, 2014.